



LOBNEK WEALTH MANAGEMENT INC.

Privacy Notice

Lobnek Wealth Management Inc. (“**We**”, “**Us**” and “**Our**”) is providing this notice to you (“**You**”) in accordance with the U.S. Securities and Exchange Commission’s Regulation S-P, a rule regarding the privacy of consumer financial information.

INFORMATION WE COLLECT

We collect certain personally identifiable financial information about Our Clients to provide financial products and services, namely discretionary and/or non-discretionary investment advice. The personally identifiable financial information that We gather during the normal course of doing business with You may include:

1. Information We receive from You on applications, account openings or other forms; and
2. Information about your transactions with Us, Our affiliates, or others.

INFORMATION WE DISCLOSE

We do not disclose any non-public personal information about Our Clients or former Clients to anyone, except as permitted or required by law or as necessary to provide services to You. In accordance with Sections 248.13 through 248.15 of Regulation S-P, We may disclose all of the information We collect, as described above, to certain nonaffiliated third parties such as, but not limited to, attorneys, accountants, auditors and persons or entities that are assessing Our compliance with law or industry standards. We enter into contractual agreements with all nonaffiliated third parties that prohibit such third parties from disclosing or using the information other than to carry out the specific purposes for which We disclose the information.

CONFIDENTIALITY AND SECURITY

We maintain physical, electronic, and procedural safeguards that comply with U.S. federal standards to guard your non-public personal information. We restrict access to non-public personal information about You to those employees who need to know that information in order to provide financial products or services to You.

CHANGES TO THIS PRIVACY POLICY

This Privacy Policy is effective as of January 2021 and shall be provided to new Clients at the time the client relationship is established. According to the new GLBA exemption (“Gramm-Leach-Bliley Act”), We are not required to provide an annual Notice if (1) We only share non-public personal information with nonaffiliated third-parties in a manner that does not require Us to provide an opt-out and (2) We have not changed Our policies and practices with respect to disclosing non-public personal information since We last provided a Notice.

As a consequence, We will provide You with Our Privacy Notice no later than when You establish a relationship with Us; and will not provide an annual notice thereafter, unless We are required to do so under the GLBA exemption.

By signing below, You acknowledge that You have received, read and understood this privacy notice.

Date: _____

Print Name: _____

Signature : _____